

PTO/SB/088 (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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2

of

2

Compleat if Known

Application Number 10/046,497

Filing Date October 26, 2001

First Named Inventor Ping, et al.

Art Unit 2814

Examiner Name Le, Thao X.

Attorney Docket Number MTI-31041-A

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
TL		X-Ray Diffraction Characterization of Multilayer Epitaxial thin Films Deposited on (0001) Sapphire, THOMAS N. BLANTON & LIANG-SUN HUNG, The Rigaku Journal, Vol. 13/1996	

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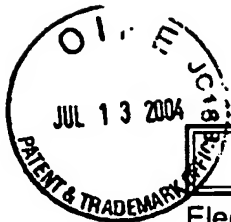
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of
Invention

Method for Forming Raised Structures by Controlled
Selective Epitaxial Growth of Facet Using Spacer

Application Number: 10/046497



Confirmation Number: 8624

First Named Applicant: Er-Xuan Ping

Attorney Docket Number: MTI-31041-A

Art Unit: 2814

Examiner: Thao X Le

Search string: (6392271 or 5578850 or 5753947 or 6433382
or 6018176 or 5547889 or 5460994).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	6392271	2002-05-21	Alavi et al.		257	328
<input type="checkbox"/>	2	5578850	1996-11-26	Fitch et al.		257	329
<input type="checkbox"/>	3	5753947	1998-05-19	Gonzalez		257	296
<input type="checkbox"/>	4	6433382	2002-08-13	Orlowski et al.		257	315
<input type="checkbox"/>	5	6018176	2000-01-25	Lim		257	302
<input type="checkbox"/>	6	5547889	1996-08-20	Kim		438	242
<input checked="" type="checkbox"/>	7	5460994	1995-10-24	Kim		438	253

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Examiner Name	Date
Thao Le	12/10/07

Patent

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. MTI-31041-A	Serial No. 10/046,497
	Applicant Er-Xuan Ping	Confirmation No. 8624
	Filing Date October 26, 2001	Group Art Unit 2814

U.S. PATENT DOCUMENTS

Examiner Initials	Patent Number	Publication Date	Patentee or Applicant	U.S. Class	Sub-Class
TL	6,492,232 B1	12-10-02	Tang et al.	438	269
↓	6,660,650 B1	12-09-03	Konecni et al.	438	720
↓	6,620,710 B1	09-16-03	Kamins	438	479
↓	5,208,172	05-04-93	Fitch et al.	437	40

Examiner Initials	TL	Date Considered	12/10/07
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MKE/1040370.1

1 of 1

Patent

Attorney Docket No. MTI-31041-A

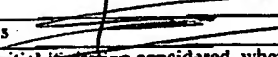
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Alexandria, VA 22313 INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO.	Serial No.
	MTI-31041-A	10/046,497
	Applicant	Confirmation No.
	Er-Xuan Ping	8624
	Filing Date	Group Art Unit
	October 26, 2001	2814

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Publication/ Issue Date	Patentee	U.S. Class	Sub-Class
TL		20040175893	09/09/2004	Vatus	438	300
TL		6,074,478	06/13/2000	Oguro	117	95

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial		Non-Patent Document
TL		Ginsberg et al., "Selective epitaxial growth of silicon and some potential application," <i>IBM J. Res Develop.</i> 34(6): November 1990.

Examiner Initials		Date Considered	12/00/07
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October 10, 2005

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number 10046497

Filing Date 2001-10-26

First Named Inventor Er-Xuan Ping

Art Unit 2814

Examiner Name Thao X. Le

Attorney Docket Number MTI-31041-A

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TL	1	401286361	JP	A	1989-11-17	Matsumoto		<input type="checkbox"/>

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10046497
Filing Date	2001-10-26
First Named Inventor	Er-Xuan Ping
Art Unit	2814
Examiner Name	Thao X. Le
Attorney Docket Number	MTI-31041-A

TL	1	Wolf, et al., Silicon Processing for the VLSI Era, Vol. 1: Processing Technology, 1986, page 155-156	<input type="checkbox"/>
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Electronic Information Disclosure Statement

Method for Forming Raised Structures by Controlled Selective Epitaxial Growth of Facet Using Space

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Application:



10/046497

Confirmation: 8624

Applicant(s): Er-Xuan Ping

Docket Number: MTI-31041-A

Group Art Unit: 2814

Examiner: Thao X. Le

search string: (5393681 or 6391692 or 5308782 or 5998248 or 6319782 or 4554570 or 5200352 or 5376562 or 5079180 or 5753555).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
TL	P01	5393681	1995-02-28		Witek et al.	438	164
TL	P02	6391692	2002-05-21		Nakamura	438	151
TL	P03	5308782	1994-05-03		Mazure et al.	438	154
TL	P04	5998248	1999-12-07		Ma et al.	438	231
TL	P05	6319782	2001-11-20		Nakabayaski	438	300
TL	P06	4554570	1985-11-19		Jastrzebski et al.	257	331

TL	P07	5200352	1993-04-06		Pfiester	257	344
TL	P08	5376562	1994-12-27		Fitch et al.	438	155
TL	P09	5079180	1992-01-07		Rodder et al.	438	297
TL	P10	5753555	1998-05-19		Hada	117	9

Signature

Examiner Name	Date
/Thao Le/	11/26/2007